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TITLE: Inherited Susceptibility to Breast Cancer in Healthy  
Women: Mutation in Breast Cancer Genes, Immune Surveillance, and  
Psychological Distress

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## **INTRODUCTION:**

Accumulating evidence indicates that modifying genes and/or environmental factors are likely to have a major impact on the expression of mutations in the recently identified breast cancer genes (BRCA1/BRCA2). The factors responsible for such differences in the penetrance of mutations in these primary susceptibility genes are not yet known. To date, speculation concerning these modifying factors has focused only on the usual suspects - hormonal/reproductive variables, which have already been shown in large scale epidemiological studies to be independent risk factors for the development of breast cancer independent of family history of the disease. Some risk factors for breast cancer, however, are likely to have an impact only in conjunction with mutations in primary susceptibility genes. Such factors, by themselves, might go undetected in standard epidemiological studies, but would become evident when examined in conjunction with testing for primary susceptibility genes. The purpose of the research supported by this IDEA grant award, is to provide the first critical test of possibility that variability in the strength of immune surveillance mechanisms against cancer (operationally defined as natural killer cell activity) may be a factor in determining the penetrance of mutations in breast cancer susceptibility genes. Two possible explanations for variability in NK cell activity are being investigated: 1) stress-induced immune suppression, and 2) inherited deficits in immune surveillance. In addition, we are examining the possibility that inherited deficits in immune surveillance may be independently associated with familial risk of breast cancer. The study "piggy-backs" on other ongoing studies involving genetic counseling and breast cancer gene testing at Mount Sinai Medical Center under the direction of Co-Investigators on this proposal. The participants are recruited to three Study Groups (N=100/group) of comparable age for the research: 1) The Mutation-Positive Family History Group (Mut+Hist+) includes women whose family histories of cancer indicate a relative risk  $\geq 1.5$  for breast cancer and who carry a mutation in BRCA1 or BRCA2; 2) The Mutation-Negative Risk Family History Group (Mut-Hist+) includes women with comparable family histories, who do not carry mutations; 3) The Normal Risk Group (Mut-Hist-) includes women without family histories of cancer who are known not to carry mutations. Each participant is assessed on three separate occasions (at the same time of day): 1) immediately prior to notification of the results of their gene testing; 2) one month later; 2) three months later. Consistent with scheduling exigencies, one woman from each group is concurrently assessed, by personnel "blind" to group status. At each assessment, standardized self-report measures are completed, a blood sample (40 ml) is collected (as feasible), and \$23 reimbursement offered.

## **BODY:**

As of this reporting period, no results are yet available from this study. We have fallen substantially behind the proposed rate of study accrual for reasons related to a change in employment for Bovbjerg (PI) and Valdimarsdottir (Co-invest.) which required a change in study site from Memorial Sloan-Kettering Cancer Center to The Mount Sinai Medical Center. The change in study site in turn required modification of collaborative arrangements (e.g., the addition of Eng as a co-investigator), set up of new facilities (e.g., Bovbjerg lab), hiring of new support staff, and additional attention to institutional review requirements. Eng has subsequently left Mount Sinai and Valdimarsdottir has begun a new collaborative study involving investigators at another institution (Georgetown) necessitating further modification of collaborative arrangements. As a result of these considerations, no subjects have completed the entire set of proposed study assessments, as of this annual review date. During this reporting period, however, the proposed psychological assessments of stress associated with familial risk and genetic testing have been conducted with 31 women (for a total of 72), as a way for us to collect some of the proposed data prior to having all collaborations fully implemented and the laboratory on line. We have now addressed the initial challenges posed by the transition of key members of the research team to a new institution, and have addressed the critical loss of Eng by the addition of a new Co-investigator who is an Associate Professor of Human Genetics (Margaret McGovern, M.D., Ph.D.). In addition, further facilitating our recruitment efforts and coordination of the research data collection with the clinical provision of genetic counseling, we have added the Director of Cancer Genetic Counseling at Mount Sinai (Karen Brown, M.S., C.G.C) as an additional Co-investigator. Facilitating the timely and rigorous completion of the proposed immune assessment battery, Bovbjerg's laboratory is now headed by a dedicated, highly skilled, immunologist (Jan Jeremias, M.S.), who has also been added as a new

Co-investigator on the project. Also facilitating recruitment to the study is the recent hiring by Valdimarsdottir of a full-time cancer genetics counselor, who has dramatically enhanced recruitment to the related studies involving BRCA testing and counseling that are a source of potential participants for our study. Thus, with an increased pool of potential participants for the study as a result of research and clinical efforts by the current team of Co-investigators on the study, and having husbanded our resources, we anticipate making very strong progress during the next year.

#### **PROPOSAL:**

We therefore request a no-cost extension of the grant to allow us to address the study aims over the course of the next year. The Hypotheses and Technical Objectives, as well as the basic study design of the research project will remain unchanged from the original approved grant. The Methods and statistical analyses will also remain the same with Three modest methodological changes are proposed to enhance the feasibility of completing the research expeditiously. First, we propose to reduce the sample size to 80 per group from 100, which should have little effect on statistical power, as preliminary data from other studies has revealed a stronger effect size than originally anticipated. Second, we propose to enhance recruitment efforts by making the scheduling of three assessment days more convenient for the participants and more feasible for the investigators by: a) not specifically linking the timing of the first assessment to the timing of notification, and b) by allowing more flexibility in the scheduling of the subsequent two assessments. Third, we propose to streamline the immune assessments by using well validated and reliable whole blood approaches (e.g., four color flow cytometry), rather than approaches requiring labor intensive isolation of peripheral blood lymphocytes.

#### **KEY RESEARCH ACCOMPLISHMENTS:**

At this point in the research no results are yet available.

#### **REPORTABLE OUTCOMES:**

|                                 |                  |     |
|---------------------------------|------------------|-----|
| Department of the Army          | 7/1/01 - 6/30/05 | 15% |
| (Bovbjerg, PI)                  | \$375,099        |     |
| (Valdimarsdottir, Project 2 PI) |                  |     |
| (Brown, McGovern, Co-Inv)       |                  |     |

Center Grant: Genetic Factors in Breast Cancer: Center for Interdisciplinary Biobehavioral Research: Project 2: "Impact of culturally tailored counseling on psychobehavioral outcomes and BRCA decision making among women with breast cancer"(Valdimarsdottir, PI)

The goal of the proposed research is to develop and evaluate the impact of culturally tailored genetic counseling on decision making regarding RCA diagnostic testing.

Overlap: None

|                           |                    |     |
|---------------------------|--------------------|-----|
| National Cancer Institute | 12/1/01 - 11/30/05 | 30% |
| (Valdimarsdottir, PI)     | \$475,935          |     |
| (Bovbjerg, Co-Inv)        |                    |     |

#### **BRCA Counseling/Testing for Urban African American Women**

The purpose of the proposed research is to develop and test an enhanced genetic counseling program for African American women with strong family histories of breast cancer.

Overlap: None

## **CONCLUSIONS:**

At this point in the research, no results are yet available. If results of the proposed research are consistent with the hypothesis that deficits in immune surveillance (e.g., as a result of stress) moderate the effects of mutations in primary susceptibility genes, the study could have important implications for the eradication of breast cancer. Such results would raise the possibility that appropriate interventions to reduce stress and increase the activity of immune surveillance mechanisms in women carrying mutations in primary susceptibility genes might delay the onset or prevent the development of breast cancer.